

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Attorney Docket No.: 105943.01

Date: August 5, 2003

Customer Number: 25944

MAIL STOP PATENT APPLICATIONCONTINUING APPLICATION TRANSMITTAL  
RULE 1.53(b)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is a

☒ Continuation      ☐ Divisional      ☐ Continuation-in-Part

application of prior pending Application No. 09/593,462, filed June 14, 2000,

For (Title): OPTICAL MODULE AND METHOD OF MANUFACTURE THEREOF,  
SEMICONDUCTOR DEVICE, AND OPTICAL TRANSMISSION DEVICE

By (Inventors): Kazunori SAKURAI; Kazushige UMETSU and Akihiro MURATA

1. ☒ A Declaration and Power of Attorney is attached. The attached Declaration and Power of Attorney is:
- ☒ a. A copy of the Declaration and Power of Attorney from the parent application. (Used with the same or fewer inventors and (a) a copy of the prior application or (b) a revised, reformatted or edited version of the prior application that does not contain new matter.)
- ☐ b. A new Declaration and Power of Attorney. (Used with the same, fewer or additional inventors and (a) a copy of the prior application, (b) a revised, reformatted or edited version of the prior application that does not contain new matter, or (c) a new specification.)
2. ☒ The filing fee is calculated below:

CLAIMS IN THE APPLICATION AFTER ENTRY OF  
ANY PRELIMINARY AMENDMENT NOTED BELOW

| FOR:   | NO. FILED | NO. EXTRA |
|--|-----------|-----------|
| BASIC FEE  |           |           |
| TOTAL CLAIMS   | 9 - 20    | = *0      |
| INDEP CLAIMS   | 1 - 3     | = *0      |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED |           |           |

\* If the difference is less than zero, enter "0".

## SMALL ENTITY

| RATE   | FEE    |
|--------|--------|
|        | \$ 375 |
| x 9 =  | \$     |
| x 42 = | \$     |
| +140 = | \$     |
| TOTAL  | \$     |

OTHER THAN A  
SMALL ENTITY

| RATE  | FEE    |
|-------|--------|
|       | \$ 750 |
| x 18  | \$     |
| x 84  | \$     |
| +280  | \$     |
| TOTAL | \$750  |

3. ☒ Check No. 144902 in the amount of \$750 to cover the filing fee is attached. The Commissioner is hereby authorized to charge any other fees that may be required to complete this filing, or to credit any overpayment, to Deposit Account No. 15-0461. Two duplicate copies of this sheet are attached.
4. ☒ Formal drawings (Figs. 1-8) are attached.
- ☒ Use Figure 1 for front page of Publication.

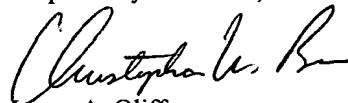
DEPOSIT ACCOUNT USE  
AUTHORIZATION  
Please grant any extension  
necessary for entry;  
Charge any fee due to our  
Deposit Account No. 15-0461

15535 U.S. PTO  
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08/05/03

5. ☒ Priority of foreign applications No. 11-169659 filed June 16, 1999 in Japan and application No. 2000-116438 filed April 18, 2000 in Japan is claimed under 35 U.S.C. § 119 and/or § 365(b).
- ☒ The certified copies were filed in prior Application No. 09/593,462 on June 14, 2000.
- ☐ A certified copy of the above foreign application(s) is filed herewith.
6. ☐ Priority of U.S. Provisional Application(s) No. \_\_\_\_\_ filed \_\_\_\_\_ is claimed under 35 U.S.C. § 119. (A Preliminary Amendment is attached to reflect the claim of benefit in the specification if not already present.)
7. ☒ The prior application is assigned of record to Seiko Epson Corporation recorded at Reel 11074, Frame 0261.
8. ☐ This application is filed by fewer than all the inventors named in the prior application (37 C.F.R. § 1.53(b)(1)). Delete the following inventor(s) named in the prior application:
- \_\_\_\_\_
9. ☒ A Preliminary Amendment is attached. The Preliminary Amendment amends the specification to reflect the continuation data. Claims added by this Amendment are properly numbered consecutively beginning with the number next following the highest numbered claim in the application and claims canceled are identified in the listing of claims.
10. ☒ An Information Disclosure Statement is attached.
11. ☐ Small entity status:
- ☐ a. Entitlement to small entity status is asserted.
- ☐ b. Small entity status is no longer claimed.
12. ☐ Other: \_\_\_\_\_
13. ☐ This application is NOT to be published under 35 U.S.C. 112(b). The undersigned attorney or agent hereby certifies that the invention disclosed in this application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.
14. ☒ The power of attorney in the application is to James A. Oliff, Registration No. 27,075, William P. Berridge, Registration No. 30,024, Kirk M. Hudson, Registration No. 27,562, Thomas J. Pardini, Registration No. 30,411, Edward P. Walker, Registration No. 31,450, Robert A. Miller, Registration No. 32,771, Mario A. Costantino, Registration No. 33,565, Stephen J. Roe, Registration No. 34,463, Joel S. Armstrong, Registration No. 36,430, Christopher W. Brown, Registration No. 38,025, Richard E. Rice, Registration No. 31,560, Paul Tsou, Registration No. 37,956, and/or Eric D. Morehouse, Registration No. 38,565.
- ☒ a. The power appears in the attached Declaration and Power of Attorney.
- ☐ b. Since the power does not appear in the attached Declaration and Power of Attorney, a substitute Power of Attorney is also attached.
15. ☒ Address all future communications to:

**OLIFF & BERRIDGE, PLC**  
**P.O. Box 19928**  
**Alexandria, Virginia 22320**

Respectfully submitted,



James A. Oliff  
Registration No. 27,075

Christopher W. Brown  
Registration No. 38,025

JAO:CWB/rxg